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**PATENT APPLICATION FEE DETERMINATION RECORD**  
Substitute for Form PTO-875

10727466

**CLAIMS AS FILED - PART I**

(Column 1)		(Column 2)	(Column 3)
FOR	NUMBER FILED	NUMBER EXTRA	
BASIC FEE (37 CFR 1.16(a))			
TOTAL CLAIMS (37 CFR 1.16(c))	19	minus 20 =	
INDEPENDENT CLAIMS (37 CFR 1.16(b))	3	minus 3 =	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))			

SMALL ENTITY	
RATE	FEE
	\$
x \$25 =	
x \$100 =	
+ \$180 =	
TOTAL	

OTHER THAN SMALL ENTITY	
RATE	FEE
	\$
x \$50 =	
x \$200 =	
+ \$360 =	
TOTAL	

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

(Column 1)		(Column 2)	(Column 3)	(Column 4)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))	19	Minus		=
Independent (37 CFR 1.16(b))	4	Minus		=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

SMALL ENTITY	
RATE	ADDITIONAL FEE
x \$25 =	
x \$100 =	
+ \$180 =	
TOTAL ADD'L FEE	0

OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE
x \$50 =	
x \$200 =	
+ \$360 =	
TOTAL ADD'L FEE	200.00

(Column 1)		(Column 2)	(Column 3)	(Column 4)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
10/06/25 Total (37 CFR 1.16(c))	19	Minus	20	=
Independent (37 CFR 1.16(b))	4	Minus	3	= 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

SMALL ENTITY	
RATE	ADDITIONAL FEE
x \$25 =	
x \$100 =	
+ \$180 =	
TOTAL ADD'L FEE	

OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE
x \$50 =	
x \$200 =	
+ \$360 =	
TOTAL ADD'L FEE	200.00 pd.

(Column 1)		(Column 2)	(Column 3)	(Column 4)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))		Minus		=
Independent (37 CFR 1.16(b))		Minus		=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

SMALL ENTITY	
RATE	ADDITIONAL FEE
x \$25 =	
x \$100 =	
+ \$180 =	
TOTAL ADD'L FEE	

OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE
x \$50 =	
x \$200 =	
+ \$360 =	
TOTAL ADD'L FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. 03-1074)

**PATENT**

IN THE APPLICATION OF:

Michael L. Fishbain

Serial No. 10/727,466

Filed: 12/04/2003

Title: System and Method For  
Electronic Spread Trading  
In Real and Synthetically  
Generated Markets

Examiner: Bashore, Alain L.

Group Art Unit: 1762

*Extra Claim  
fee*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO THE OFFICE ACTION  
MAILED AUGUST 5, 2005**

Dear Examiner Bashore,

In response to the Office Action dated August 5, 2005, the Applicant submits the following:

**Claims begin on page 2 of this paper.**

**Remarks and Arguments begin on page 8 of this paper.**